EFS-Web News *Program Update*

EFS-Web - The New Web-Based Patent Electronic Filing System

January 2006

Welcome Phase 2 Beta Participants

The EFS-Web Development Team would like to welcome all Phase 2 Beta participants. Phase I of EFS-Web Beta has been very successful and the participants' comments have been overwhelmingly positive.

On March 17, 2006, EFS-Web will be available for full production. Until then, help us keep the use of EFS-Web restricted to approved Beta participants by not distributing the URL. Please contact the USPTO before consulting outside sources when you have issues or comments about EFS-Web.

We encourage Phase 2 Beta participants to register for the January 13, 2006 EFS-Web training session via the online questionnaire that was previously emailed.

Private PAIR is coming to the Portal!

We are pleased to announce that Beta users will be able to use the Private PAIR in the portal starting January 27, 2006. This will allow you to move from EFS-Web to Private PAIR without having to log in multiple times. We will be holding an online web seminar (webinar) near the end of January to kick off the release.

Licensed Fonts

All EFS-Web documents must be submitted using licensed fonts. Fonts are protected under intellectual property law and are subject to the same legal usage restrictions as other software; therefore, it is important that users adhere to UPSTO PDF Guidelines. The standard fonts included with licensed copies of Microsoft Office, for example, are acceptable, but users employing special fonts should contact their font vendor if they have questions about licensing.

During the validation process, the USPTO verifies that all fonts are embedded, and if the fonts inside the document are found to be unlicensed or if licensing cannot be determined, the document will be rendered and processed as an image-only file.

EFS-Web filers may use a set of free fonts that will be made available by the Scientific and Technical Information Exchange project (STIX). Beginning in February, users may download many free sets of fonts that include alphabetical characters, and mathematical symbols. See www.stixfonts.org for more information about the STIX project.

Indexing Your Documents

The USPTO uses rule-based messaging to trigger messages for Patent Staff based on the document descriptions that you choose in EFS-Web. Proper indexing of your documents in EFS-Web is essential to ensure that submissions are properly processed. As an added step, the EFS-Web team's Quality Control staff reviews submissions to assure correct user indexing.

General Rules for Indexing

1. Index according to EFS-Web Document Description reference sheet located under Help and Tutorial/Tools in the Beta section of the USPTO website
2. Applications must be divided and indexed into appropriate sections:
   - Specification (SPEC)
   - Claims (CLM)
   - Abstract (ABST)
   - Drawing (DRW)
3. Documents associated with Amendments must be separated out to different document descriptions. A typical amendment will include:
   - Amendment transmittal document. This is the first document discussing the type of amendment being filed. It could include:
     - Amendment after Non-Final (A..)
     - Amendment after Notice of Allowance (Rule 312) (A.NA)
     - Preliminary Amendment (A.PE)
     - Amendment after Final (A.NE)
   - Application Parts (see above), if they exist
   - Remarks, such as Applicant Arguments or Remarks Made in an Amendment (REM)
4. Foreign References (FOR) and Backfile Non-Patent Literature (NPL) must be separated into separate documents
5. Appeal Briefs do not have to have the "Claims" section separated
6. Petitions that are accompanied with Amendments must have separate application parts document descriptions as mentioned above, but if the petition cites the application part then it should not be separated.

Upcoming Events

- **Jan 3: Phase 2 Training Registration Closes**
- **Jan 10: Webinar Training for Phase 2**
- **Jan 13: On-Site Training for Phase 2**
- **Phase 2 Training Packets mailed**
- **Jan 17: Commence Beta Phase 2**

Give Us Your Feedback

Your continued feedback is important to the EFS-Web development team. Please send your comments or feedback on the EFS-Web development program to: **EFSBeta@uspto.gov**