



The EFS-Web Beta Orientation Will Begin Shortly!

**Please dial-in to the following number to
hear the audio portion of this broadcast:**

US/Canada: 866-469-3239

International: 650-429-3300

Passcode: 481314901



Today's Presenter

William Stryjewski

**United States Patent &
Trademark Office**



Some Housekeeping Items

- **Today's seminar is scheduled to last for 1 hour**





Some Housekeeping Items

- **Today's seminar is scheduled to last for 1 hour**
- **All participants will be muted to enable the speakers to present without interruptions**





Some Housekeeping Items

- **Today's seminar is scheduled to last for 1 hour**
- **All participants will be muted to enable the speakers to present without interruptions**
- **Questions can be submitted to presenters via the WebEx Q&A screen at any time**





Some Housekeeping Items

- **Today's seminar is scheduled to last for 1 hour**
- **All participants will be muted to enable the speakers to present without interruptions**
- **Questions can be submitted to presenters via the WebEx Q&A screen at any time**
- **Similar questions will be aggregated together**





Beta Program Orientation



EFS-Web
Web Enabled Patent Filing



Agenda



Agenda

- Provide an overview of the EFS-Web Beta program



Agenda

- Provide an overview of the EFS-Web Beta program
- Give you a preview of EFS-Web and its key attributes



Agenda

- Provide an overview of the EFS-Web Beta program
- Give you a preview of EFS-Web and its key attributes
- Identify Support resources and procedures



Agenda

- Provide an overview of the EFS-Web Beta program
- Give you a preview of EFS-Web and its key attributes
- Identify Support resources and procedures
- Identify Bug Reporting procedures



Agenda

- Provide an overview of the EFS-Web Beta program
- Give you a preview of EFS-Web and its key attributes
- Identify Support resources and procedures
- Identify Bug Reporting procedures
- Present training options



Agenda

- Provide an overview of the EFS-Web Beta program
- Give you a preview of EFS-Web and its key attributes
- Identify Support resources and procedures
- Identify Bug Reporting procedures
- Present training options
- Take questions



Beta Program Overview





Beta Program Overview

- Program Duration





Beta Program Overview

- Program Duration
- Guidelines





Beta Program Overview

- Program Duration
- Guidelines
- Safeguards





Beta Program Overview

- Program Duration
- Guidelines
- Safeguards
- Beta Participant Requirements





Beta Program Overview

- Program Duration
- Guidelines
- Safeguards
- Beta Participant Requirements
- Support and Problem Reporting





Beta Program Overview

- Program Duration
- Guidelines
- Safeguards
- Beta Participant Requirements
- Support and Problem Reporting
- Feedback mechanisms





Beta Program Overview

- Program Duration
- Guidelines
- Safeguards
- Beta Participant Requirements
- Support and Problem Reporting
- Feedback mechanisms
- Disclosure Requirements





Key Beta Program Milestones





Key Beta Program Milestones

- Phase I Start Date: ***1 December***





Key Beta Program Milestones

- Phase I Start Date: ***1 December***
- Phase 2 Start Date: ***15 January***





Key Beta Program Milestones

- Phase I Start Date: ***1 December***
- Phase 2 Start Date: ***15 January***
- Beta End Date: ***10 March 2006***





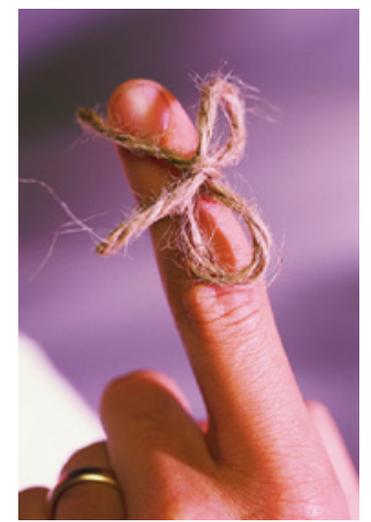
Key Beta Program Milestones

- Phase I Start Date: ***1 December***
- Phase 2 Start Date: ***15 January***
- Beta End Date: ***10 March 2006***
- General Availability: ***17 March***





Guidelines





Guidelines

- *Please remember to use EFS-Web at every opportunity*





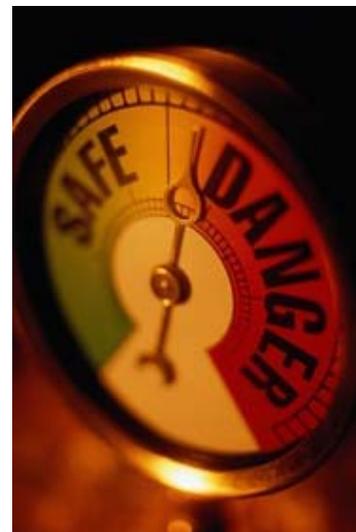
Guidelines

- ***Please remember to use EFS-Web at every opportunity***
- Non-filers may be eliminated from the Beta program





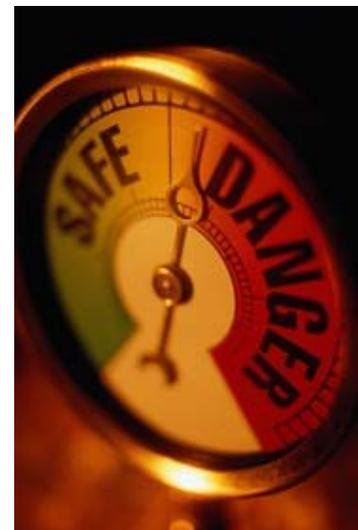
Guidelines





Guidelines

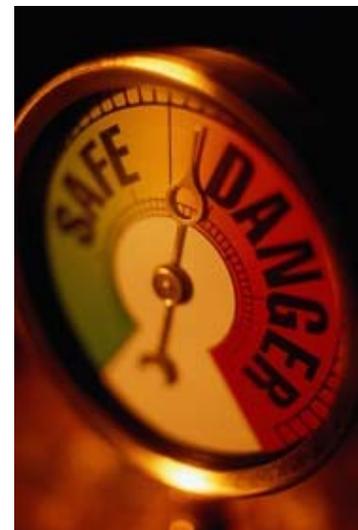
- EFS-Web is a fully tested *experimental* system





Guidelines

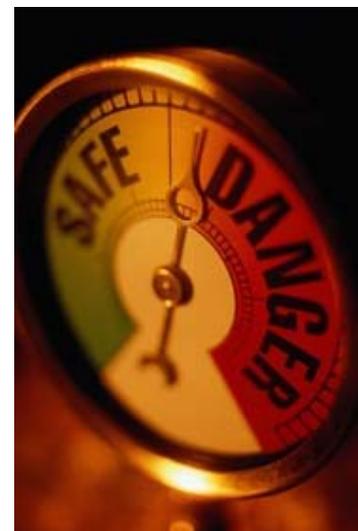
- EFS-Web is a fully tested *experimental* system
- You are playing a key role in moving it from Beta to Production





Guidelines

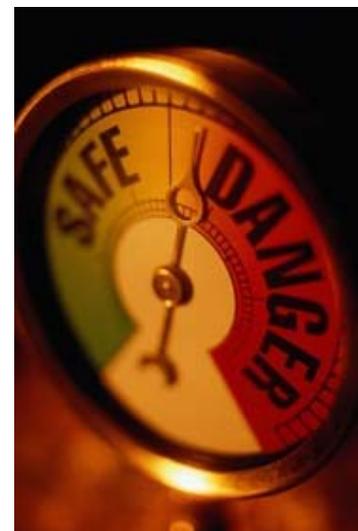
- EFS-Web is a fully tested *experimental* system
- You are playing a key role in moving it from Beta to Production
- Keep paper or electronic backup copies of all EFS-Web submissions





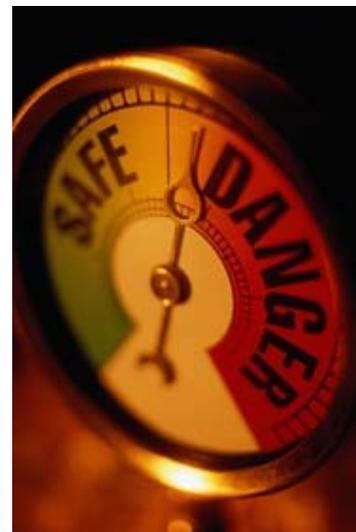
Guidelines

- EFS-Web is a fully tested *experimental* system
- You are playing a key role in moving it from Beta to Production
- Keep paper or electronic backup copies of all EFS-Web submissions
- File early in the day so you can re-file by other means, if necessary





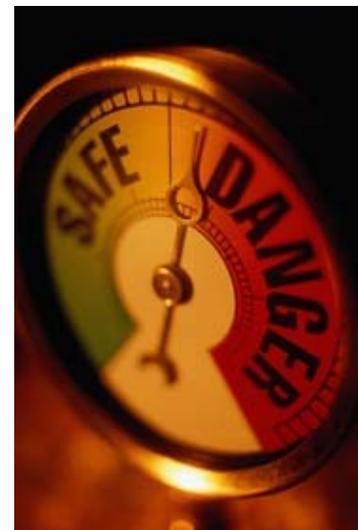
Guidelines





Guidelines

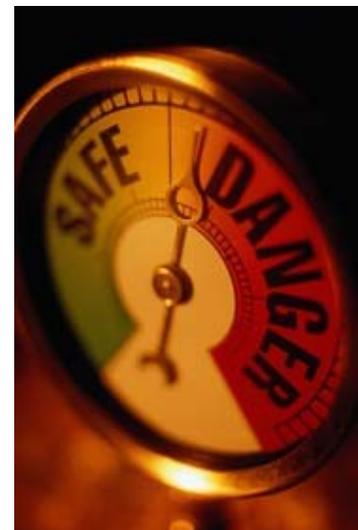
- Submissions you SHOULD file:
 - New Utility, Provisional, Design, 371 National Stage applications and application-related documents, fees & documents associated with existing applications





Guidelines

- Submissions you SHOULD file:
 - New Utility, Provisional, Design, 371 National Stage applications and application-related documents, fees & documents associated with existing applications
- Submissions you should NOT file:
 - Pre-Grant Publications
 - PCT International Applications
 - Bio sequences
 - Reissues/Rexams





Safeguards





Safeguards

- Special Quality Assurance standards have been put in place





Safeguards

- Special Quality Assurance standards have been put in place
- 100% of EFS-Web Beta filings will receive special handling to ensure successful filings





Safeguards

- Special Quality Assurance standards have been put in place
- 100% of EFS-Web Beta filings will receive special handling to ensure successful filings
- Training, Online Resources and the EBC are readily available





Safeguards

- Special Quality Assurance standards have been put in place
- 100% of EFS-Web Beta filings will receive special handling to ensure successful filings
- Training, Online Resources and the EBC are readily available
- Specialized on-call EFS-Web support





Requirements





Requirements

- Feedback is essential





Requirements

- Feedback is essential
- Please report all problems and enhancement requests using the Beta Report Form





Requirements

- Feedback is essential
- Please report all problems and enhancement requests using the Beta Report Form
- Provide product experience information through online feedback questionnaire





Requirements

- Feedback is essential
- Please report all problems and enhancement requests using the Beta Report Form
- Provide product experience information through online feedback questionnaire
- Participation in a focus group session in mid-January





Problem Reporting



Problem Reporting

- What to Report:
 - Unexpected product behavior
 - Discrepancies
 - Abnormal problems
 - Unexpected error messages

CONTROL #		Title of problem or enhancement:			
(USPTO WILL ASSIGN CONTROL #)		Date problem occurred:			
Operating System <input type="checkbox"/> Windows NT <input type="checkbox"/> Windows ME <input type="checkbox"/> Windows 2000 <input type="checkbox"/> Windows XP <input type="checkbox"/> Macintosh <input type="checkbox"/> Linux <input type="checkbox"/> Other Browser <input type="checkbox"/> Mozilla Firefox <input type="checkbox"/> Microsoft Internet Explorer <input type="checkbox"/> Netscape <input type="checkbox"/> Mac Safari <input type="checkbox"/> Other	Organization:		Phone:		
	Contact Name:		Email:		
Issue Type: (Choose as many as apply)	<u>Technical</u> <input type="checkbox"/> Bug / Problem <input type="checkbox"/> Enhancement Request <input type="checkbox"/> User Guide	<u>Legal</u> <input type="checkbox"/>	<u>Business Process</u> <input type="checkbox"/>	<u>Marketing / Training</u> <input type="checkbox"/>	



Problem Reporting

- What to Report:
 - Unexpected product behavior
 - Discrepancies
 - Abnormal problems
 - Unexpected error messages
- Form available as a PDF on the USPTO website
- Print, Complete, Fax or E-mail to EBC: efsbeta@uspto.gov

CONTROL #		Title of problem or enhancement:			
(USPTO WILL ASSIGN CONTROL #)		Date problem occurred:			
Operating System <input type="checkbox"/> Windows NT <input type="checkbox"/> Windows ME <input type="checkbox"/> Windows 2000 <input type="checkbox"/> Windows XP <input type="checkbox"/> Macintosh <input type="checkbox"/> Linux <input type="checkbox"/> Other Browser <input type="checkbox"/> Mozilla Firefox <input type="checkbox"/> Microsoft Internet Explorer <input type="checkbox"/> Netscape <input type="checkbox"/> Mac Safari <input type="checkbox"/> Other	Organization:		Phone:		
	Contact Name:		Email:		
Issue Type: (Choose as many as apply)	Technical <input type="checkbox"/> Bug / Problem <input type="checkbox"/> Enhancement Request <input type="checkbox"/> User Guide	Legal <input type="checkbox"/>	Business Process <input type="checkbox"/>	Marketing / Training <input type="checkbox"/>	



Support



Support

- **1-866-217-9197**





Support

- **1-866-217-9197**
- **6 a.m. – Midnight**





Support

- **1-866-217-9197**
- **6 a.m. – Midnight**
- **Monday-Friday**





Confidentiality



Confidentiality

- **Problems must be reported to USPTO for resolution**





Confidentiality

- **Problems must be reported to USPTO for resolution**
- **Sharing information about EFS-Web issues outside of Beta program participants is strongly discouraged**





EFS-Web Overview



EFS-Web Overview



EFS-Web

Web Enabled Patent Filing

USPTO's new [web-based](#) patent application and document [submission solution](#)



What EFS-Web Does



EFS-Web
Web Enabled Patent Filing



What EFS-Web Does

- **Online submission of PDF files** of patent applications and documents



EFS-Web
Web Enabled Patent Filing



What EFS-Web Does

- **Online submission of PDF files** of patent applications and documents
- **Online payment** of patent application and document filing fees



EFS-Web
Web Enabled Patent Filing



What EFS-Web Does

- **Online submission of PDF files** of patent applications and documents
- **Online payment** of patent application and document filing fees
- **Verifies and validates PDF files & data** before submission



EFS-Web

Web Enabled Patent Filing



What EFS-Web Does

- **Online submission of PDF files** of patent applications and documents
- **Online payment** of patent application and document filing fees
- **Verifies and validates PDF files & data** before submission
- **Provides a valid acknowledgement receipt** that is the equivalent of an Express Mail receipt



EFS-Web
Web Enabled Patent Filing



What EFS-Web Does

- **Online submission of PDF files** of patent applications and documents
- **Online payment** of patent application and document filing fees
- **Verifies and validates PDF files & data** before submission
- **Provides a valid acknowledgement receipt** that is the equivalent of an Express Mail receipt
- **Speeds access to submission** via Private PAIR



EFS-Web

Web Enabled Patent Filing



EFS-Web Benefits



EFS-Web
Web Enabled Patent Filing



EFS-Web Benefits

- Safe
 - EFS-Web submissions protect your filing date *just like Express Mail*



EFS-Web
Web Enabled Patent Filing



EFS-Web Benefits

- **Safe**
 - EFS-Web submissions protect your filing date *just like Express Mail*
- **Simple**
 - Even the largest and most complex patent applications can be submitted using PDF files and a few web screens



EFS-Web
Web Enabled Patent Filing



EFS-Web Benefits

- **Safe**
 - EFS-Web submissions protect your filing date *just like Express Mail*
- **Simple**
 - Even the largest and most complex patent applications can be submitted using PDF files and a few web screens
- **Secure**
 - EFS-Web submissions are protected through encrypted communications between USPTO and user's browsers



EFS-Web
Web Enabled Patent Filing



EFS-Web Benefits



EFS-Web
Web Enabled Patent Filing



EFS-Web Benefits

- **Fast**
 - Patent applications and documents can be submitted and a receipt obtained *in minutes*



EFS-Web
Web Enabled Patent Filing



EFS-Web Benefits

- **Fast**
 - Patent applications and documents can be submitted and a receipt obtained *in minutes*
- **Flexible**
 - Requires NO changes to the processes & tools you use to author patent applications and documents



EFS-Web

Web Enabled Patent Filing



EFS-Web Benefits

- **Fast**
 - Patent applications and documents can be submitted and a receipt obtained *in minutes*
- **Flexible**
 - Requires NO changes to the processes & tools you use to author patent applications and documents
- **Forgiving**
 - Checks documents & data entered before submission to ensure compatibility with USPTO standards



EFS-Web
Web Enabled Patent Filing



Who Can Submit Patent Applications and Documents?





Who Can Submit Patent Applications and Documents?

- Unregistered Filers
 - *Anyone who visits EFS-Web* can submit patent applications





Who Can Submit Patent Applications and Documents?

- Unregistered Filers
 - *Anyone who visits EFS-Web* can submit patent applications
 - Patent attorneys, patent agents, paralegals, legal secretaries, pro se filers





Who Can Submit Patent Applications and Documents?

- Unregistered Filers
 - *Anyone who visits EFS-Web* can submit patent applications
 - Patent attorneys, patent agents, paralegals, legal secretaries, pro se filers
 - A *customer number is NOT required* for submissions





Who Can Submit Patent Applications and Documents?

- Unregistered Filers
 - *Anyone who visits EFS-Web* can submit patent applications
 - Patent attorneys, patent agents, paralegals, legal secretaries, pro se filers
 - A *customer number is NOT required* for submissions
- Registered Filers





Who Can Submit Patent Applications and Documents?

- Unregistered Filers
 - *Anyone who visits EFS-Web* can submit patent applications
 - Patent attorneys, patent agents, paralegals, legal secretaries, pro se filers
 - A *customer number is NOT required* for submissions
- Registered Filers
 - Those who *obtain a Digital Certificate in advance* from USPTO's Electronic Business Center (EBC)





How EFS-Web Works



How EFS-Web Works



User
Accesses USPTO
Portal

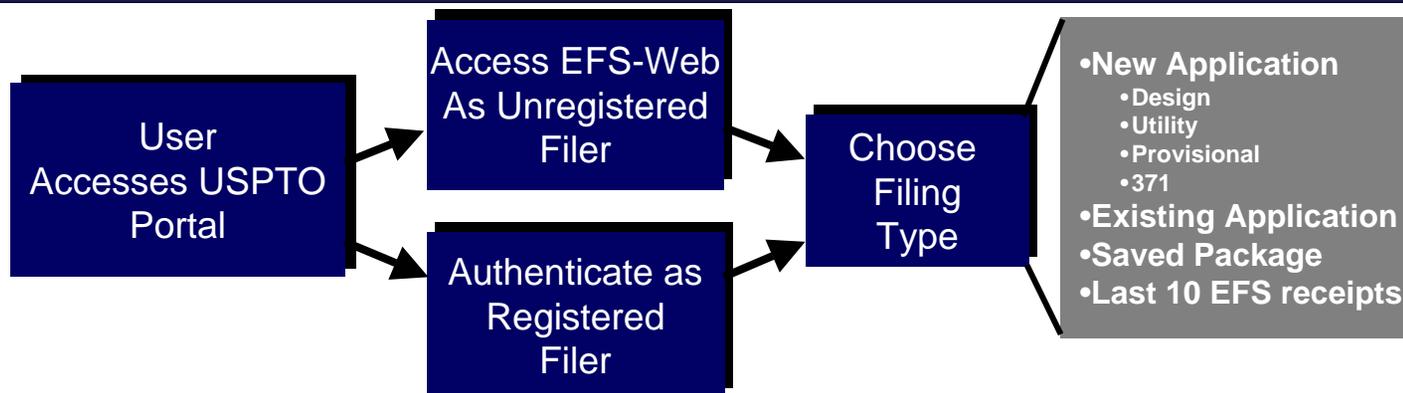


How EFS-Web Works



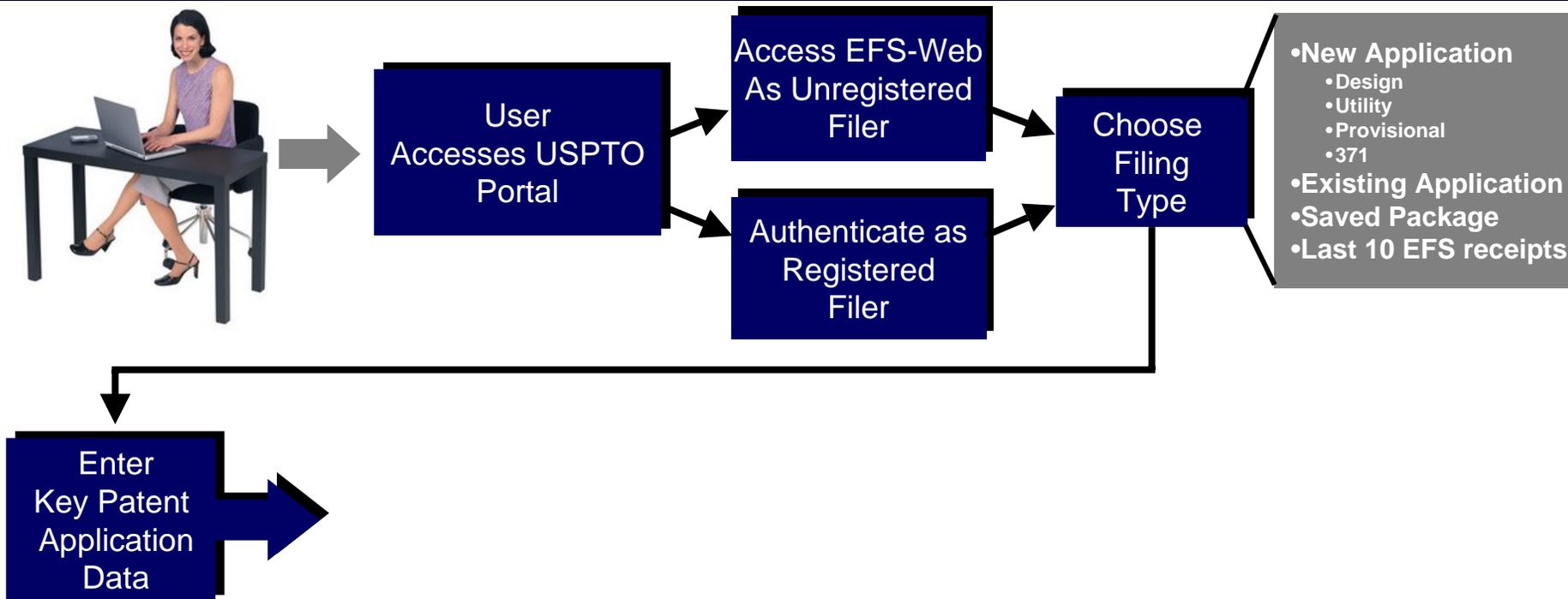


How EFS-Web Works



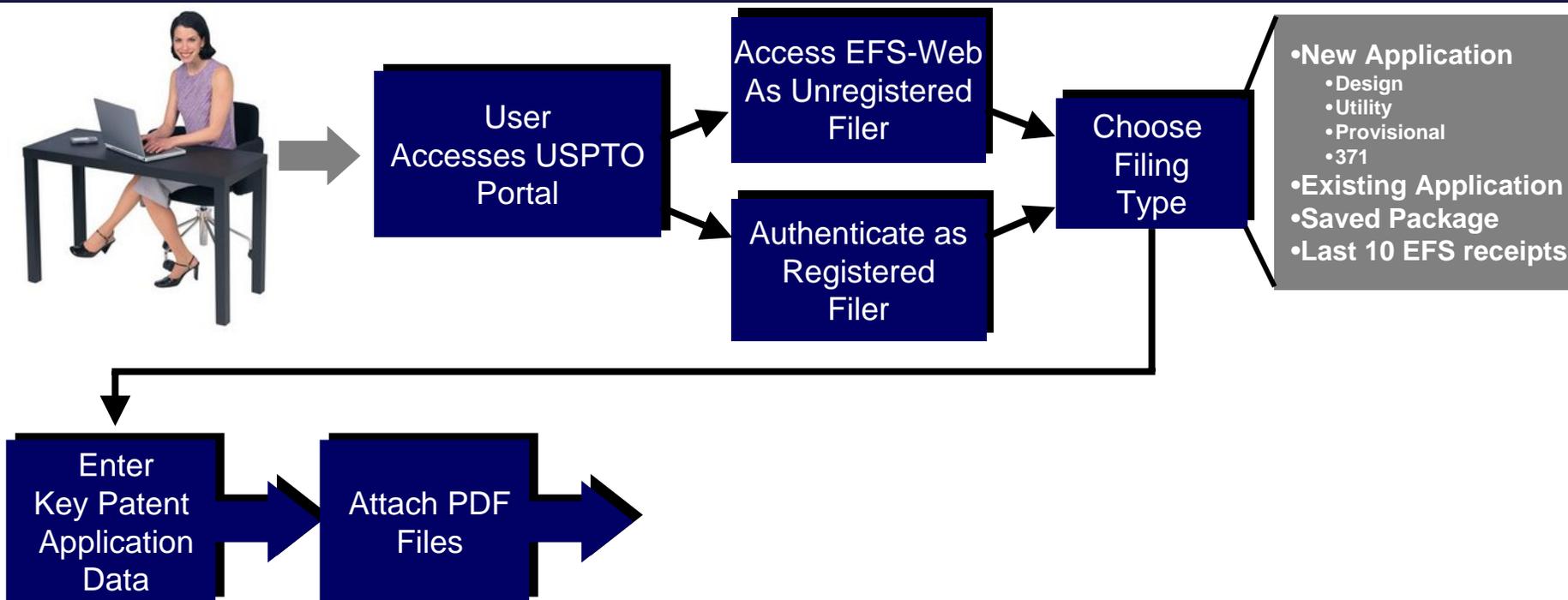


How EFS-Web Works



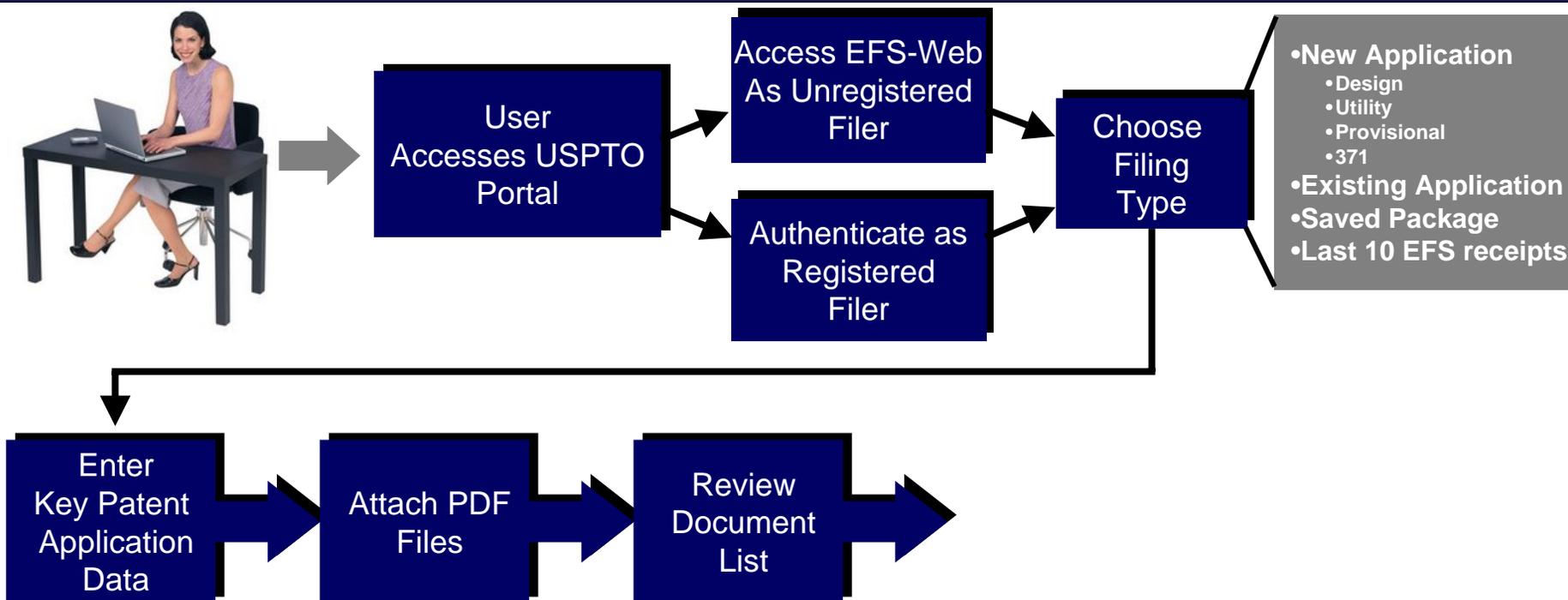


How EFS-Web Works



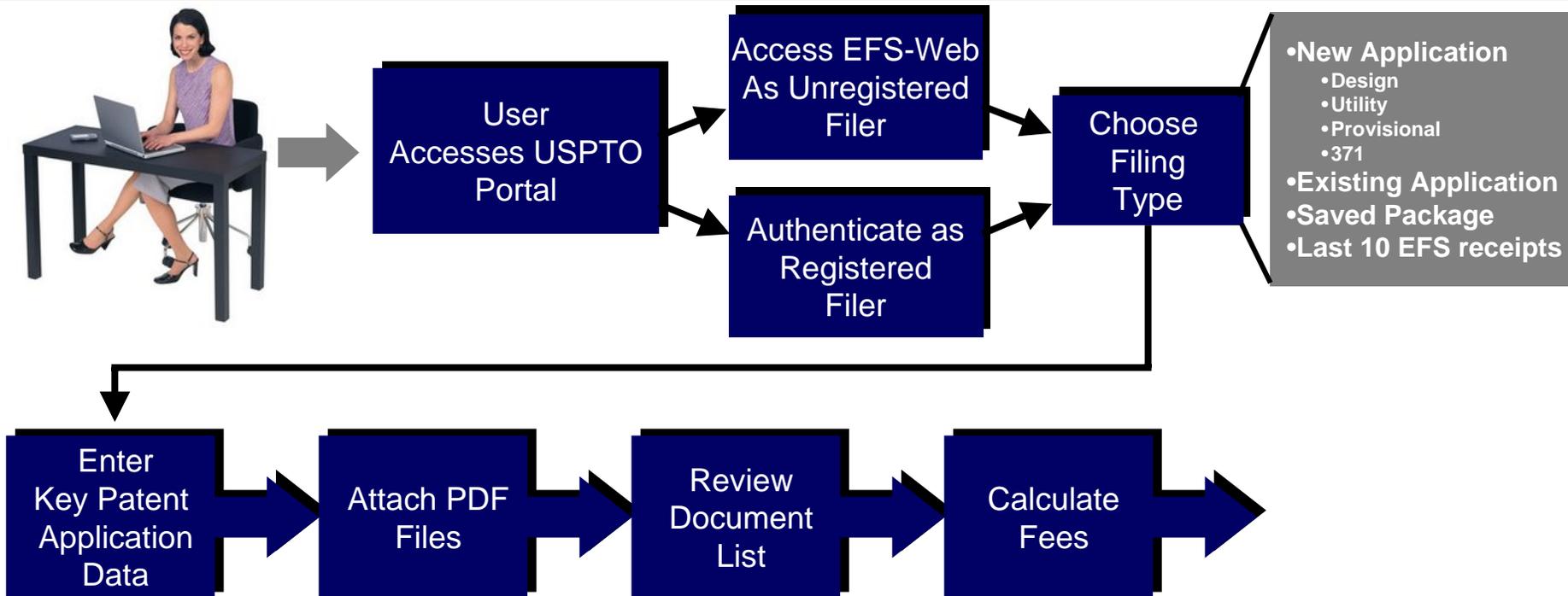


How EFS-Web Works



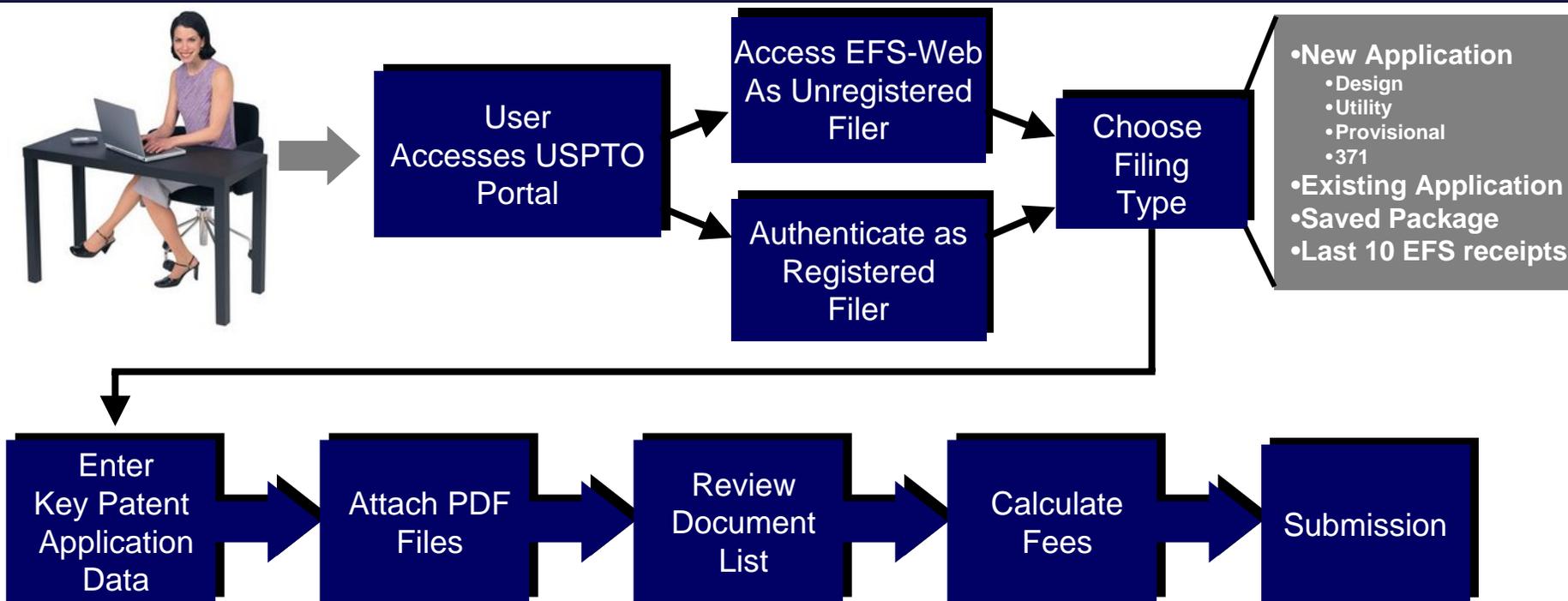


How EFS-Web Works



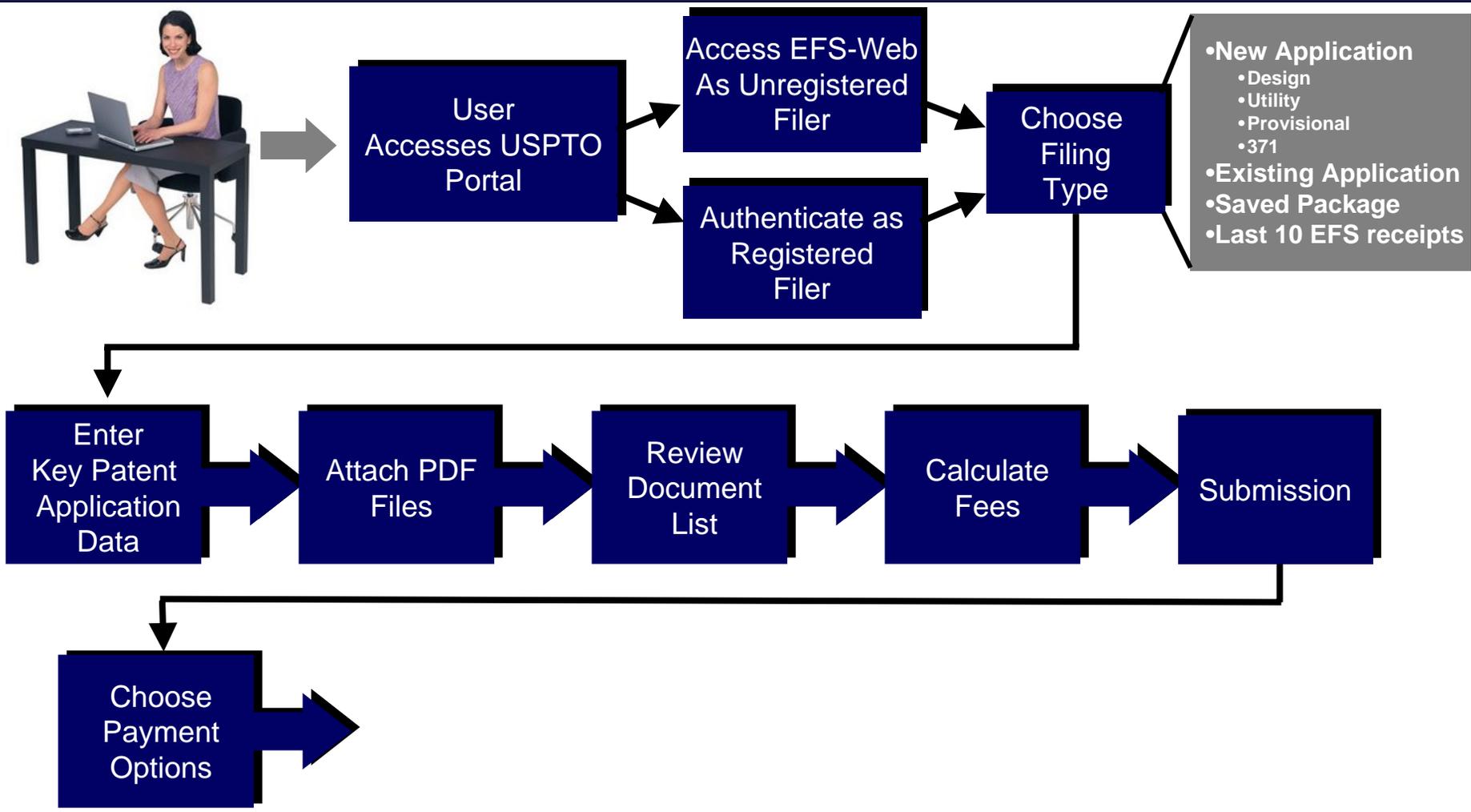


How EFS-Web Works



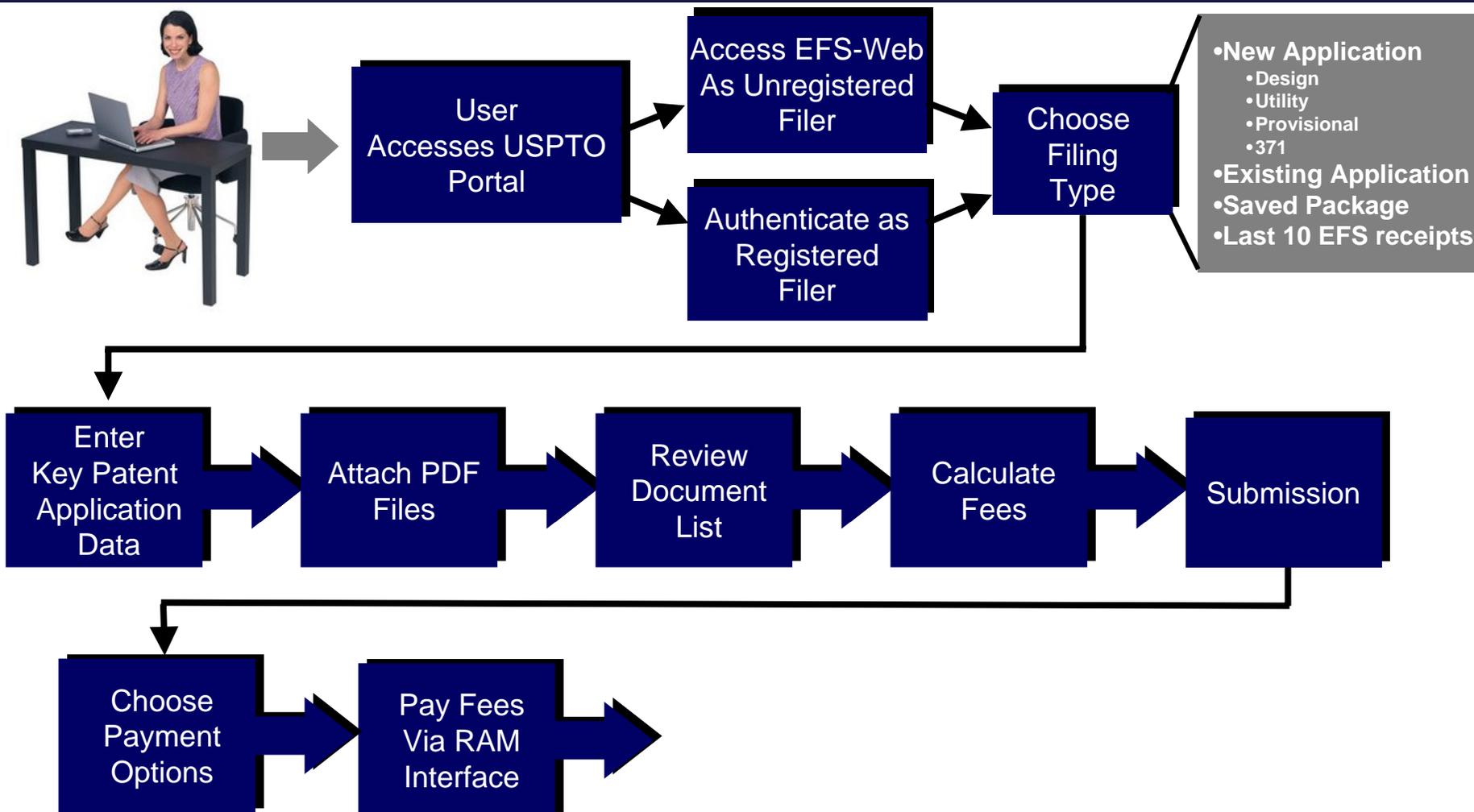


How EFS-Web Works



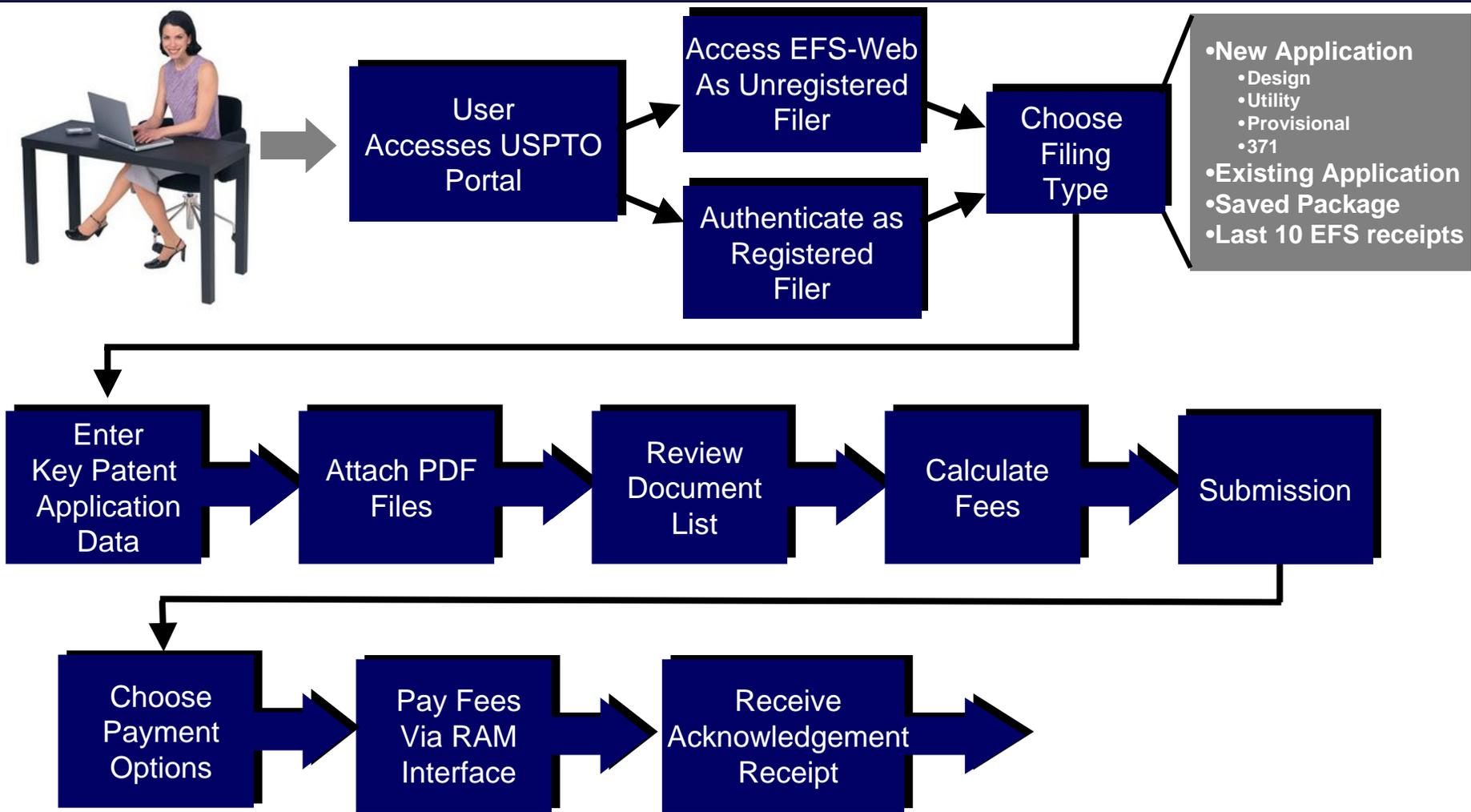


How EFS-Web Works



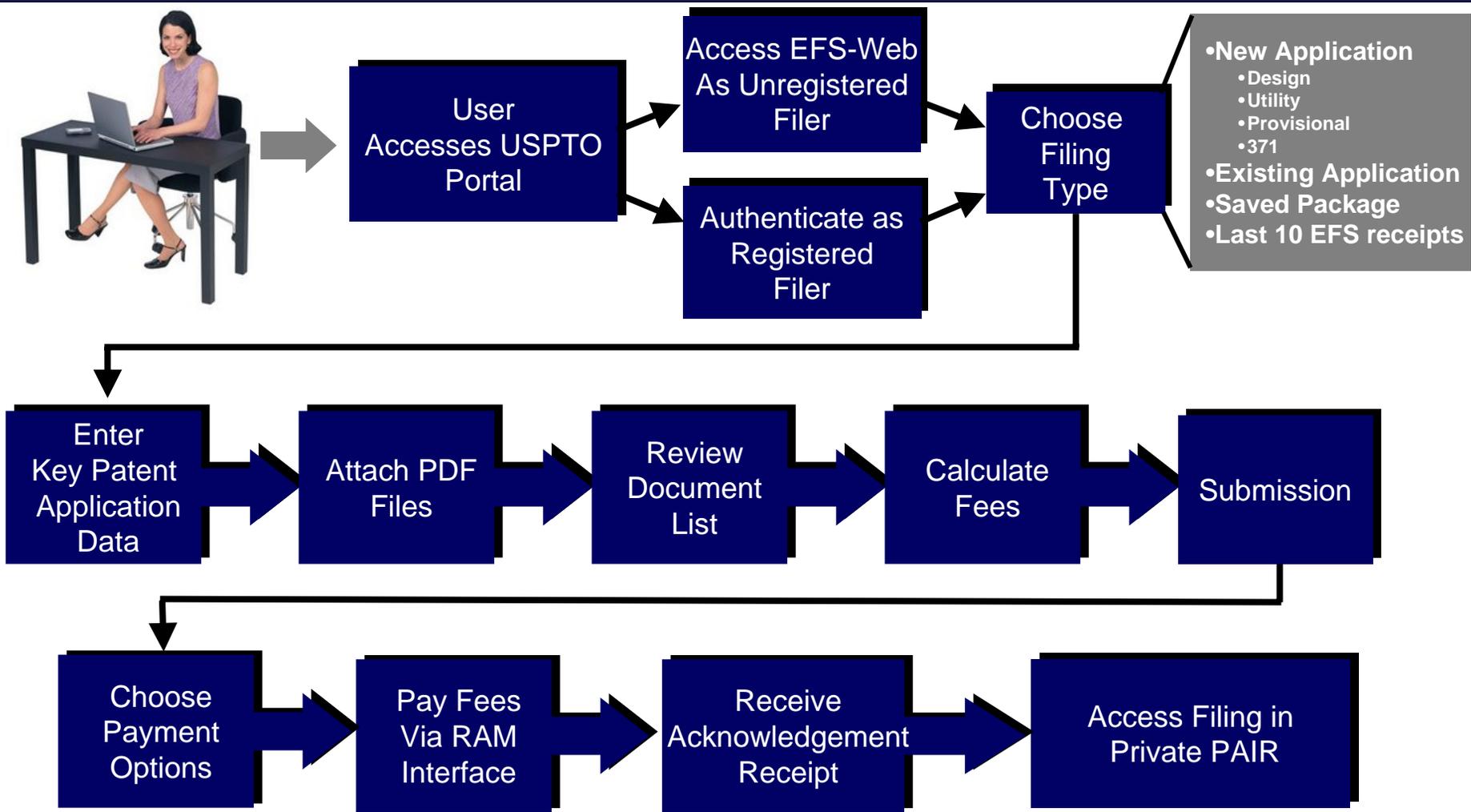


How EFS-Web Works





How EFS-Web Works

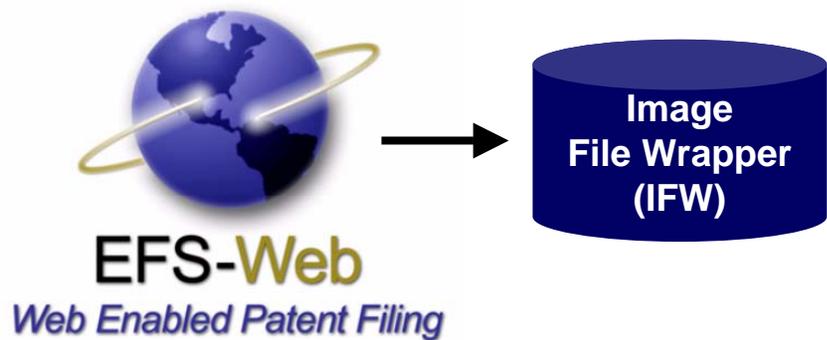




USPTO's Patent Application Architecture



USPTO's Patent Application Architecture





USPTO's Information Architecture

Electronic version of a patent application, including image and/or text versions of the bibliographic information, all papers as filed, all office actions and correspondence



EFS-Web

Web Enabled Patent Filing

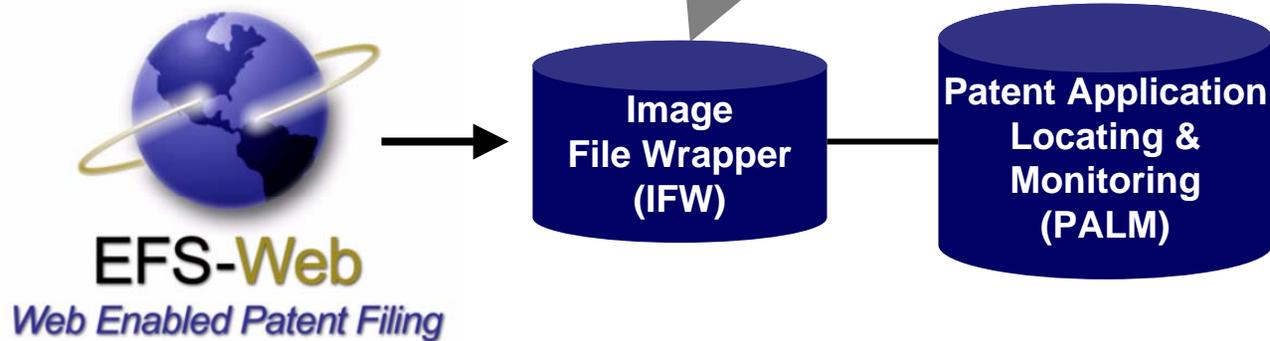


**Image
File Wrapper
(IFW)**



USPTO's Information Architecture

Electronic version of a patent application, including image and/or text versions of the bibliographic information, all papers as filed, all office actions and correspondence





USPTO's Electronic Patent Filing

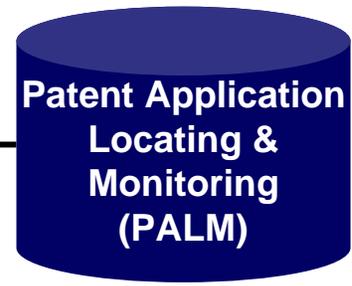
Electronic version of a patent application, including image and/or text versions of the bibliographic information, all papers as filed, all office actions and correspondence

Internal USPTO database that catalogs patent data and then presents it in Public and Private PAIR



EFS-Web

Web Enabled Patent Filing





USPTO's Electronic Patent Filing

Electronic version of a patent application, including image and/or text versions of the bibliographic information, all papers as filed, all office actions and correspondence

Internal USPTO database that catalogs patent data and then presents it in Public and Private PAIR





USPTO's Electronic Filing System

Electronic version of a patent application, including image and/or text versions of the bibliographic information, all papers as filed, all office actions and correspondence

Internal USPTO database that catalogs patent data and then presents it in Public and Private PAIR



EFS-Web

Web Enabled Patent Filing





USPTO's Electronic Filing System

Electronic version of a patent application, including image and/or text versions of the bibliographic information, all papers as filed, all office actions and correspondence

Internal USPTO database that catalogs patent data and then presents it in Public and Private PAIR



EFS-Web

Web Enabled Patent Filing



Provides access to status and history information for issued patents and published applications via the web





USPTO's Information System

Electronic version of a patent application, including image and/or text versions of the bibliographic information, all papers as filed, all office actions and correspondence

Internal USPTO database that catalogs patent data and then presents it in Public and Private PAIR



EFS-Web

Web Enabled Patent Filing



Provides access to status and history information for issued patents and published applications via the web

Provides controlled access to non-public patent information, as well as all information Public PAIR provides





Training & Beta Product Introduction



Training & Beta Product Introduction

- 28 November – WebEx
 - 1 and 1/2 hours





Training & Beta Product Introduction

- 28 November – WebEx
 - 1 and 1/2 hours
- 30 November – USPTO Headquarters, Alexandria, VA
 - Full-Day session





Training & Beta Product Introduction

- 28 November – WebEx
 - 1 and 1/2 hours
- 30 November – USPTO Headquarters, Alexandria, VA
 - Full-Day session
- Please register for the appropriate Training session





Training & Beta Product Introduction

- 28 November – WebEx
 - 1 and 1/2 hours
- 30 November – USPTO Headquarters, Alexandria, VA
 - Full-Day session
- Please register for the appropriate Training session
- Information packets to arrive on 30 November





Questions





EFS-Web

Web Enabled Patent Filing